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CONFIRMATION NO. 8236

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| SERIAL NUMBER 09/680,365 | FILING OR 371(c) DATE 10/05/2000 RULE | CLASS 705 | GROUP ART UNIT 3624 | ATTORNEY DOCKET NO. 38866/204611 |
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APPLICANTS

R. Raymond May, Mathews, NC;

**** CONTINUING DATA *******

This application is a DIV of 09/169,879 10/12/1998 ABN
 which claims benefit of 60/062,410 10/14/1997

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

** 12/14/2000

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|---------------------------------|---|------------------------|----------------------|-------------------|-------------------------|
| Foreign Priority claimed | <input type="checkbox"/> yes <input type="checkbox"/> no | STATE OR COUNTRY NC | SHEETS DRAWING 33 | TOTAL CLAIMS 7 | INDEPENDENT CLAIMS 1 |
| 35 USC 119 (a-d) conditions met | <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | | | |
| Verified and Acknowledged | Examiner's Signature | Initials | | | |

ADDRESS

0826

TITLE

SYSTEMS FOR SWITCH AUCTIONS UTILIZING RISK POSITION PORTFOLIOS OF A PLURALITY OF TRADERS

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| FILING FEE RECEIVED 355 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |
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